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Attorney Docket No. 09792909-5359

2811  
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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:	)	Group Art Unit: 2811
	)	
Tsutomu TANAKA	)	Examiner: Thien F. Tran
	)	
Application No. 10/051,267	)	
	)	
Filed: January 18, 2002	)	
	)	
For: Thin-Film Transistor and Method for	)	
Making the Same	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
**ATTENTION: GROUP DIRECTOR**

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER  
MAILING DATE OF FINAL ACTION BUT BEFORE PAYMENT OF ISSUE FEE (37  
C.F.R. § 1.97(d))**

**TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement transmitted herewith is being filed *after* a final action under Section 1.113. The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

10/27/2003 RMEBRAHT 00000132 10051267

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Transmittal of Information Disclosure Statement  
Page 2

Pursuant to 37 C.F.R. § 1.97(e)(2), no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement.

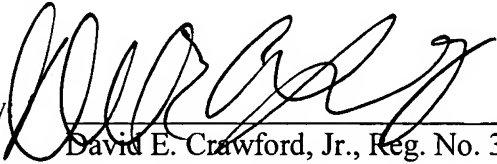
Applicants hereby petition for the consideration of the accompanying information disclosure statement. 37 C.F.R. § 1.97(d)(2).

Applicants submit herewith a check in the amount of \$180.00 for the petition fee set forth in 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to credit overpayments or to charge any deficiency in a required fee to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: October 20, 2003

By   
David E. Crawford, Jr., Reg. No. 38,118  
Customer No. 26263  
314.259.5810



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  Sheet 1 of 2				<b>Complete if Known</b>	
				Application Number	10/051,267 #13/ISS
				Filing Date	January 18, 2002 1-6-04
				First Named Inventor	Tsutomu Tanaka Retains
				Group Art Unit	2811
				Examiner Name	Thien F. Tran
				Attorney Docket Number	09792909-5359

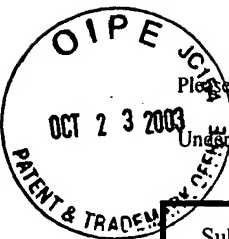
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	3	JP 8-279618	10-22-1996			<input type="checkbox"/>
	4	JP 10-189252	07-21-1998			<input type="checkbox"/>
	5	JP 11-251069	09-17-1999			<input type="checkbox"/>

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file ( and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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PTO/SB/08B (08-00)

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<b>Substitute for form 1449B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				<b>Application Number</b>	10/051,267
				<b>Filing Date</b>	January 18, 2002
				<b>First Named Inventor</b>	Tsutomu Tanaka
				<b>Group Art Unit</b>	2811
				<b>Examiner Name</b>	Thien F. Tran
<b>Sheet</b>	2	<b>of</b>	2	<b>Attorney Docket Number</b>	09792909-5359

OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	6	Modern Liquid Crystal Process Technology '99, Press Journal, 1998, pp. 53-59.	
	7	Flat Panel Display 1999, Nikkei BP, pp. 132-139.	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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